

**MUFG SECURITIES (INDIA) PRIVATE LIMITED**

Investor Complaints Data – SME IPO and FPO including OFS;

**Data for month ending August 2025**

| SN | Received From                 | Carried forward from previous month | Received during the month | Resolved during the month* | Pending at the end of month# | Pending complaints > 3 month | Average Resolution time ^ (in days) |
|----|-------------------------------|-------------------------------------|---------------------------|----------------------------|------------------------------|------------------------------|-------------------------------------|
| 1  | Directly from Investors       | Not Applicable                      | NIL                       | NIL                        | NIL                          | NIL                          | NIL                                 |
| 2  | SEBI Scores                   | Not Applicable                      | NIL                       | NIL                        | NIL                          | NIL                          | NIL                                 |
| 3  | Stock Exchanges (if relevant) | Not Applicable                      | NIL                       | NIL                        | NIL                          | NIL                          | NIL                                 |
| 4  | Other sources (if any)        | Not Applicable                      | NIL                       | NIL                        | NIL                          | NIL                          | NIL                                 |
| 5  | <b>Grand Total</b>            | Not Applicable                      | NIL                       | NIL                        | NIL                          | NIL                          | NIL                                 |

**Trend of monthly disposal of complaints ( For 5 months on rolling basis) -**

| SN | Month      | Carried forward from previous month | Received during the month | Resolved during the month* | Pending at the end of month# |
|----|------------|-------------------------------------|---------------------------|----------------------------|------------------------------|
| 1  | April-2025 | Not Applicable                      | Not Applicable            | Not Applicable             | Not Applicable               |
| 2  | May-2025   | Not Applicable                      | Not Applicable            | Not Applicable             | Not Applicable               |

|   |                    |                |                |                |                |
|---|--------------------|----------------|----------------|----------------|----------------|
| 3 | June – 2025        | Not Applicable | Not Applicable | Not Applicable | Not Applicable |
| 4 | July -2025         | Not Applicable | Not Applicable | Not Applicable | Not Applicable |
| 5 | August - 2025      | Not Applicable | NIL            | NIL            | NIL            |
|   | <b>Grand Total</b> | Not Applicable | NIL            | NIL            | NIL            |

^Average Resolution time is the sum total of time taken to resolve each complaint in days, in the current month divided by total number of complaints resolved in the current month

\*Inclusive of complaints of previous months resolved in the current month

# Inclusive of complaints pending as on the last day of the month

**Trend of annual disposal of complaints ( For 5 years on rolling basis) -**

| SN | Year               | Carried forward from previous year | Received during the year | Resolved during the year | Pending at the end of the year |
|----|--------------------|------------------------------------|--------------------------|--------------------------|--------------------------------|
| 1  | 2020-21            | Not Applicable                     | Not Applicable           | Not Applicable           | Not Applicable                 |
| 2  | 2021-22            | Not Applicable                     | Not Applicable           | Not Applicable           | Not Applicable                 |
| 3  | 2022-23            | Not Applicable                     | Not Applicable           | Not Applicable           | Not Applicable                 |
| 4  | 2023-24            | Not Applicable                     | Not Applicable           | Not Applicable           | Not Applicable                 |
| 5  | 2024-25            | Not Applicable                     | Not Applicable           | Not Applicable           | Not Applicable                 |
|    | <b>Grand Total</b> | Not Applicable                     | Not Applicable           | Not Applicable           | Not Applicable                 |