MUFG SECURITIES (INDIA) PRIVATE LIMITED

Investor Complaints Data – SME IPO and FPO including OFS;

Data for month ending December 2025

SN	**Received From**	**Carried forward from previous month**	**Received during the month**	**Resolved during the month***	**Pending at the end of month#**	**Pending complaints > 3 month**	**Average Resolution time ^ (in days)**
1	Directly from Investors	Not Applicable	NIL	NIL	NIL	NIL	NIL
2	SEBI Scores	Not Applicable	NIL	NIL	NIL	NIL	NIL
3	Stock Exchanges (if relevant)	Not Applicable	NIL	NIL	NIL	NIL	NIL
4	Other sources (if any)	Not Applicable	NIL	NIL	NIL	NIL	NIL
5	**Grand Total**	Not Applicable	NIL	NIL	NIL	NIL	NIL

Trend of monthly disposal of complaints (For 5 months on rolling basis) -

SN	**Month**	**Carried forward from previous month**	**Received during the month**	**Resolved during the month***	**Pending at the end of month#**
1	August - 2025	Not Applicable	NIL	NIL	NIL
2	September - 2025	Not Applicable	NIL	NIL	NIL

3	October – 2025	Not Applicable	NIL	NIL	NIL
4	November - 2025	Not Applicable	NIL	NIL	NIL
5	December – 2025	Not Applicable	NIL	NIL	NIL
	Grand Total	Not Applicable	NIL	NIL	NIL

^Average Resolution time is the sum total of time taken to resolve each complaint in days, in the current month divided by total number of complaints resoled in the current month

*Inclusive of complaints of previous months resolved in the current month

Inclusive of complaints pending as on the last day of the month

Trend of annual disposal of complaints (For 5 years on rolling basis) -

SN	**Year**	**Carried forward from previous year**	**Received during the year**	**Resolved during the year**	**Pending at the end of the year**
1	2020-21	Not Applicable	Not Applicable	Not Applicable	Not Applicable
2	2021-22	Not Applicable	Not Applicable	Not Applicable	Not Applicable
3	2022-23	Not Applicable	Not Applicable	Not Applicable	Not Applicable
4	2023-24	Not Applicable	Not Applicable	Not Applicable	Not Applicable
5	2024-25	Not Applicable	Not Applicable	Not Applicable	Not Applicable
	Grand Total	Not Applicable	Not Applicable	Not Applicable	Not Applicable